



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/542,721	09/26/2005	Colette Maria Ng	102881-11	8420
27389	7590	10/14/2009		
NORRIS, MCLAUGHLIN & MARCUS			EXAMINER	
875 THIRD AVE			VELASQUEZ, VANESSA T	
18TH FLOOR				
NEW YORK, NY 10022			ART UNIT	PAPER NUMBER
			1793	
			MAIL DATE	DELIVERY MODE
			10/14/2009	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/542,721

**Applicant(s)**

NG ET AL.

**Examiner**

Vanessa Velasquez

**Art Unit**

1793

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 28 July 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1-4, 6, 7 and 9-21 is/are allowed.
- 6) ☒ Claim(s) 5 and 8 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/CDC)
- 4) ☐ Interview Summary (PTO-413)
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_
- Paper No(s)/Mail Date 9/11/2009

## **DETAILED ACTION**

### ***Status of Claims***

Claims 1-21 are pending and presented for examination on the merits.

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on July 28, 2009 has been entered.

### ***Information Disclosure Statement***

2. One (1) information disclosure statement (IDS) was submitted on September 11, 2009. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

### ***Objection to the Specification***

3. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Claim 7 contains the following claim limitations that lack clear antecedent basis with the

specification: "degree of combustion in excess of 0.2" and " $\text{CO}_2 + \text{H}_2 + \text{O}_2 + \text{H}_2\text{O}$ ."

Applicant is invited to amend the specification as appropriate to include the limitations of claim 7, or amend the claim such that the aforementioned limitations are consistent with the terminology found in lines 20-23 on page 6 of Applicant's specification.

***Claim Rejections - 35 USC § 112, Second Paragraph***

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 5 and 8 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claim 5, the claim is indefinite because it recites a range that is not encompassed by a base claim on which it depends (see claim 1). Claim 1 recites that the degree of combustion of exceeds 60 wt.%, whereas claim 5 recites that the degree of combustion is "between 60 wt.% to 75 wt.%." It should be noted that the former range excludes 60 wt.% while the latter range is interpreted to include 60 wt.%. Thus, claim 5 is indefinite as it fails to further properly limit the range recited in claim 1.

Regarding claim 8, there is insufficient antecedent basis for the limitation "oxidation degree" in the claim.

***Allowable Subject Matter***

Claims 1-4, 6, 7, and 9-21 are allowed.

The following is a statement of reasons for the indication of allowable subject matter: The prior art of record neither teach nor suggest providing oxygen in an amount such that the degree of combustion is in excess of 60 wt.% of the injected fuel/reductant. Furthermore, as stated in the declaration filed under 37 CFR 1.132 on July 28, 2009, it would not be obvious for one of ordinary skill in the art to provide oxygen in amount such that the degree of combustion exceeds 60 wt.% because such an amount, as previously established by experts in the art, was thought to hinder reducing conditions for producing metallic iron by creating iron oxides (declaration, paragraphs 13 and 15).

***Declaration***

6. The declaration under 37 CFR 1.132 filed on July 28, 2009 is sufficient to overcome the rejection of claims 1-21 based upon Floyd et al. (US 5,498,277) in view of Teller et al. (US 4,968,661) under 35 U.S.C. 103(a). Reasons for the effectiveness of the declaration are stated in the paragraph entitled "Allowable Subject Matter" of this Office action.

***Telephone Communication to Applicant***

A telephone call was made to Andrew Parfomak to request authorization for an Examiner's amendment to place the application in condition for allowance, but it did not result in a resolution of the pending issues described above.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vanessa Velasquez whose telephone number is 571-270-3587. The examiner can normally be reached on Monday-Friday 9:00 AM-6:00 PM ET.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Roy King, can be reached at 571-272-1244. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 10/542,721  
Art Unit: 1793

Page 6

/Roy King/  
Supervisory Patent Examiner, Art  
Unit 1793

/Vanessa Velasquez/  
Examiner, Art Unit 1793